

# Prevalence of Healthcare-Associated Infections (HAIs) and Antimicrobial Susceptibility Profile of Isolates from a Teaching Hospital in Araras, São Paulo, Brazil

## *Prevalência de infecções relacionadas à assistência à saúde (IRAS) e perfil de sensibilidade dos isolados de um hospital de ensino de Araras/SP*

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### Abstract

**Objective:** This study aimed to investigate the prevalence of healthcare-associated infections (HAIs) in a teaching hospital in Araras, São Paulo, Brazil, and to analyze the antimicrobial susceptibility profile of the bacterial isolates. **Methods:** A retrospective analysis was conducted using microbiological test reports collected between September and December 2021 and January 2022. The study also assessed the antimicrobial susceptibility profile of prevalent microorganisms by hospital unit, as well as patient age group and sex. **Results:** The overall prevalence of HAIs across the hospital units evaluated was 69.14%. Predominant microorganisms exhibited resistance primarily to  $\beta$ -lactam and carbapenem antibiotics, while remaining susceptible to polymyxin. *Pseudomonas aeruginosa* predominated in the intensive care unit (ICU), *Escherichia coli* in the general ward, and *Klebsiella pneumoniae* in the maternity ward. HAIs were more frequent among patients over 50 years old and females. **Conclusion:** The findings highlight the urgent need for improved infection control measures to prevent the spread of HAIs, especially in the ICU, and underscore the importance of promoting the rational use of antimicrobials.

**Keywords:** healthcare-associated infection; antimicrobial drug resistance; antimicrobial susceptibility testing.

### Resumo

**Objetivo:** O objetivo deste estudo foi investigar a prevalência das infecções relacionadas à assistência à saúde (IRAS) em um hospital de ensino de Araras/SP e analisar o perfil de sensibilidade das bactérias isoladas. **Método:** Para tanto, realizou-se a análise de laudos de exames microbiológicos obtidos de um hospital público de ensino, entre os meses de setembro a dezembro de 2021 e janeiro de 2022, localizado na cidade de Araras/SP. Além da determinação da prevalência de IRAS no hospital, foram avaliadas as variáveis: perfil de sensibilidade dos microrganismos prevalentes por setor hospitalar, faixa etária e sexo dos pacientes acometidos. **Resultados:** A taxa de prevalência de IRAS foi igual a 69,14% em relação a todos os setores hospitalares avaliados; os microrganismos prevalentes, por setor hospitalar, foram resistentes sobretudo a antimicrobianos betalactâmicos e carbapenêmicos e sensíveis à polimixina, com predominância de *Pseudomonas aeruginosa* na unidade de tratamento intensivo (UTI), *Escherichia coli* na enfermaria e *Klebsiella pneumoniae* na maternidade. Além disso, notou-se que as IRAS foram mais frequentes na faixa etária acima dos 50 anos e em mulheres. **Conclusão:** Os dados obtidos neste estudo apontam a necessidade de melhores medidas de proteção contra a disseminação de IRAS, especialmente na UTI, e sugerem a necessidade de maior promoção do uso racional de antimicrobianos.

**Palavras-chave:** Infecção hospitalar; Resistência microbiana a medicamentos; Teste de sensibilidade microbiana.

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## INTRODUCTION

Healthcare-associated infections (HAIs) are those acquired in hospital settings—that is, they are not present prior to the patient's admission and may be linked to any hospital environment. As such, they represent infections that have a significant impact during hospitalization, particularly for the healthcare system, considering factors such as length of stay, associated costs, and clinical deterioration observed in the patient. Moreover, this scenario may be further complicated by microbial resistance and immunocompromised states, resulting in increased mortality rates.<sup>(1-3)</sup>

HAIs have become a global concern, compromising clinical outcomes in up to 15% of hospitalized patients. In Europe, for instance, approximately 3.2 million patients acquire some form of HAI each year; of these, 37,000 die as a result of such infections. Furthermore, the emergence of multidrug-resistant strains has hindered effective treatment and contributed to the rising incidence of these infections within hospital settings—particularly related to the inappropriate use of antimicrobials.<sup>(4)</sup>

Another complicating factor in the context of HAIs is that the causative microorganisms are often endemic to the institution. Consequently, the use of broad-spectrum antimicrobials may exert selective pressure, promoting the dissemination of resistance mechanisms.<sup>(5)</sup>

In low- and middle-income countries such as Brazil, the frequency of infections acquired in intensive care units (ICUs) is at least two to three times higher than in high-income countries—and five to ten times higher when acquired in general wards, outpatient clinics, or during surgical procedures. Therefore, the causes behind the rising incidence of HAIs must be evaluated carefully to support effective decision-making aimed at reducing these rates.<sup>(6)</sup>

Among the most significant challenges are the shortage of trained personnel, limited supplies, restricted access to microbiology laboratories, and inadequate infrastructure. Despite the clear lack of surveillance systems and comprehensive research in countries such as Brazil—further compounded by the fact that not all healthcare facilities properly report cases—the situation has shown signs of change in recent years, with a steady increase in reporting. This shift has contributed to the availability of epidemiological data on HAIs.<sup>(6,7)</sup>

In addition to this scenario, antimicrobial resistance has been regarded as a global public health threat. The

growing selection of multidrug-resistant microorganisms has led to unsatisfactory clinical outcomes for hospitalized patients and increased hospitalization-related costs. In recent years, a notable rise in carbapenem resistance rates among Gram-negative bacilli has been observed, particularly in pathogens such as *Acinetobacter* spp., *Klebsiella pneumoniae*, and *Pseudomonas aeruginosa*.<sup>(8,9)</sup>

A study that assessed the molecular epidemiological profile of multidrug-resistant *K. pneumoniae* isolates in a Brazilian tertiary hospital—using whole-genome sequencing to identify antimicrobial resistance mechanisms and their association with *K. pneumoniae* carbapenemase (KPC) outbreaks between 2003 and 2012—found a wide distribution of beta-lactamase-encoding genes among the isolates. Nearly all microorganisms showed mutations in porin genes, leading to a significant increase in the minimum inhibitory concentration (MIC) for carbapenems. Additionally, high-risk clones and epidemic resistance plasmids were identified throughout the study period, underscoring the persistent presence of KPC strains in the hospital environment. The researchers concluded that horizontal gene transfer among clones selected by inappropriate antimicrobial use may play a critical role in the evolution of KPC outbreaks in Brazilian hospitals.<sup>(10)</sup>

Kiffer et al.<sup>(11)</sup> also analyzed trends in carbapenemase detection using data from the Brazilian Surveillance Network collected across multiple hospitals. Between 2020 and 2022, they reported significant increases in the incidence of carbapenemase-producing strains, with an overall rise of 65.2% for Enterobacteriaceae, 77.7% for the *Acinetobacter baumannii* complex, and 61.3% for *Pseudomonas aeruginosa*. These findings were also associated with the impact of the COVID-19 pandemic, which contributed to changes in the carbapenemase profile and to the increased frequency of these occurrences over the years.

In addition to these scenarios, infections that arise following viral illnesses—known as secondary infections—have gained increasing attention. According to the Centers for Disease Control and Prevention (CDC), these infections, acquired while patients are hospitalized, fall under the surveillance definition of HAIs. Such complications are common and can lead to adverse outcomes. In previous epidemics, such as influenza, many deaths were attributed to secondary bacterial pneumonia. In these cases of HAIs, Gram-positive microorganisms and fungi are often present alongside Gram-negative bacteria, all representing significant sources of morbidity and mortality, as

widely observed in hospitalized COVID-19 patients. It is well established that the use of immunosuppressive drugs during viral infections is directly associated with an increased risk of developing such HAIs.<sup>(12)</sup>

In this context, it becomes evident that the absence of epidemiological studies on HAIs can hinder assertive decision-making by healthcare professionals involved in diagnosis and treatment. Conversely, studies with this focus may contribute to reducing public health costs and the morbidity and mortality associated with these infections. Accordingly, the present study investigated the prevalence of HAIs in a teaching hospital in Araras, São Paulo, and analyzed the antimicrobial susceptibility profiles of the predominant microorganisms in each hospital unit.

## METHODOLOGY

An epidemiological study with a descriptive, cross-sectional, and retrospective design was conducted, including microbiological test reports from patients at a public teaching hospital located in Araras, São Paulo, Brazil. Data were collected between September and December 2021 and January 2022.

Reports derived from biological material collected from different hospital settings were included, while all reports classified as having biological material of community origin were excluded.

The microorganisms described in the reports were identified through Gram staining combined with biochemical identification using Rugai agar medium.<sup>13</sup> Antimicrobial susceptibility testing was performed using the agar disk diffusion method, following BrCast guidelines.<sup>(14)</sup>

Prevalence was determined based on the number of positive microbiological diagnoses in relation to all reports issued from nosocomial isolates during the same period—that is, through calculation of the prevalence rate.

The following variables were also analyzed: antimicrobial susceptibility profile of the predominant

microorganisms by hospital unit, patient age group, and sex of those affected by HAIs. Data were statistically analyzed using descriptive methods.

It is worth noting that access to the reports was authorized by the head of the laboratory, who safeguards them, ensuring patient privacy and upon presentation of approval from the Research Ethics Committee under protocol number 46997421.0.0000.5374.

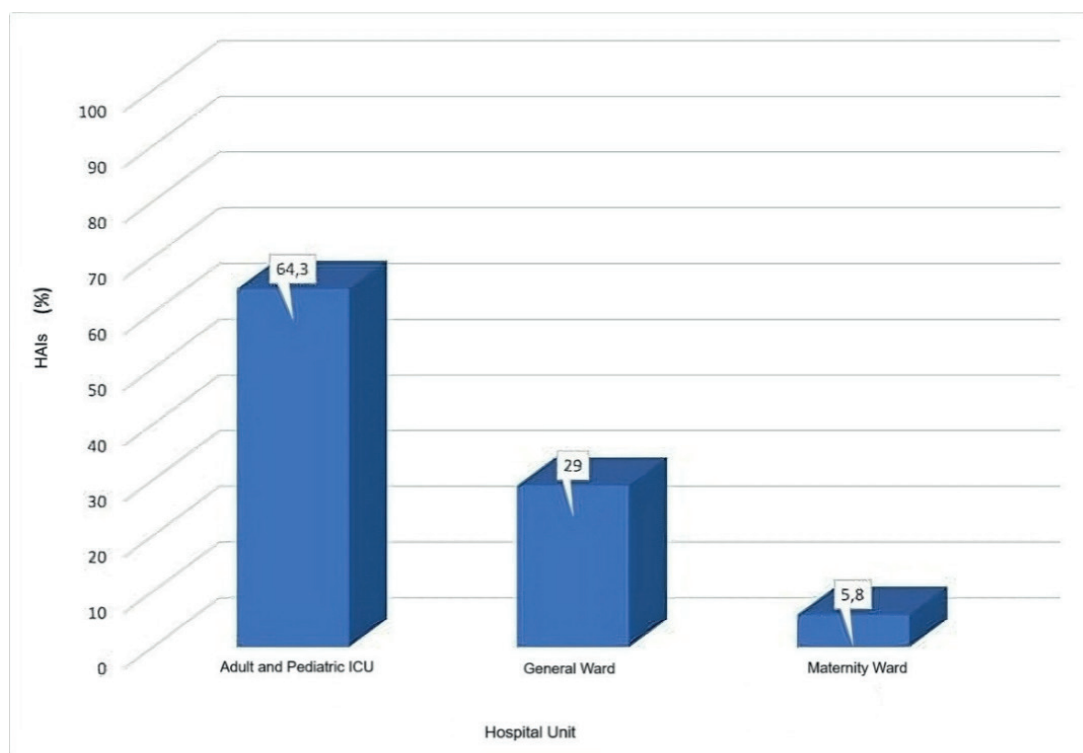
## RESULTS AND DISCUSSION

After analyzing the microbiological test reports obtained from the evaluated hospital units—including adult and pediatric ICUs, general wards, and the maternity ward—a prevalence rate of HAIs of 69.14% was observed. This finding is noteworthy, considering the detrimental impact of HAIs on patient recovery and hospital costs.

In a multicenter study conducted across various public and private hospitals in Brazil, Machado et al.<sup>(2)</sup> reported high prevalence rates of HAIs, particularly in ICUs, with figures remaining above 76.8% nationwide. The study emphasized that HAIs are especially prevalent in adult ICUs in Brazil and are often diagnosed without proper microbiological criteria.

The high prevalence of HAIs, especially in ICUs, underscores the urgent need to prioritize them on Brazil's public health agenda.<sup>(2)</sup> It is imperative to implement stringent infection control protocols, promote continuous education of healthcare professionals regarding preventive practices, and ensure the appropriate use of microbiological criteria for HAI diagnosis. The combination of these measures may significantly reduce the incidence of HAIs and enhance patient safety in Brazilian hospitals.

Other Brazilian studies have also highlighted that the hospital unit with the highest rate of positive culture samples collected from various hospital environments is the ICU,<sup>(15-18)</sup> corroborating the findings of the present study, as illustrated in Figure 1.

**Figure 1**

Percentage of HAIs by hospital unit.

The results of this study, detailed in Table 1, also demonstrate the antimicrobial susceptibility profile of the predominant clinical isolates across hospital units. It was observed that Gram-negative microorganisms predominated in HAI cases in all hospital sectors, with *Pseudomonas aeruginosa* being the most frequent in both adult and pediatric ICUs, *Escherichia coli* in the general ward, and *Klebsiella pneumoniae* in the maternity ward.

*Pseudomonas* spp. ranks among the most commonly isolated bacteria in hospitalized patients and plays a significant role in HAI notifications across Brazilian healthcare facilities. These infections increase hospital costs, morbidity, and mortality, representing a major challenge for hospitals in developing countries such as Brazil.<sup>(1,17,19)</sup>

Furthermore, *P. aeruginosa* isolates from the ICUs exhibited low susceptibility to  $\beta$ -lactam antibiotics and moderate susceptibility to aminoglycosides, with some strains showing resistance to carbapenems. Among all antibiotics tested, only polymyxin proved effective against all isolates. The endemic

presence of multidrug-resistant *Pseudomonas* spp. infections is a cause for concern, as these microorganisms can develop resistance to nearly all available antimicrobials.<sup>(20,21)</sup>

In the general ward, a predominance of *E. coli* isolates was observed, showing greater susceptibility to most of the tested antimicrobials. However, some isolates were found to be resistant to tetracyclines and fluoroquinolones, both of which are broad-spectrum antibiotics.

Resistance to tetracyclines most commonly results from efflux mechanisms or ribosomal protection; however, enzymatic degradation has also been reported—this latter being more frequently associated with resistance to next-generation tetracyclines in emerging *E. coli* strains.<sup>22</sup> Various mechanisms of fluoroquinolone resistance have also been described in *E. coli*.<sup>(22)</sup> Transcriptomic data revealed that the response of mutant strains to fluoroquinolones primarily involved biofilm formation, changes in cell motility, porin modifications, oxidative stress defenses, and alterations in energy metabolism. These changes resulted from mutations

that conferred resistance to fluoroquinolones at varying levels, particularly due to the environmental discharge of these antibiotics.<sup>(23)</sup>

It is also noteworthy that in the maternity ward, one *K. pneumoniae* isolate—the predominant species in this unit—was susceptible only to polymyxin. The increasing prevalence of multidrug-resistant Gram-negative bacilli infections, including *K. pneumoniae*, poses a serious challenge within hospital settings. Polymyxin, a highly nephrotoxic and neurotoxic antibiotic, had previously been withdrawn from clinical use, but was reintroduced as a last-resort treatment for infections caused by carbapenem-resistant bacilli, specifically those expressing KPC enzymes.<sup>(10,24-26)</sup>

KPC, also referred to as a “superbug,” is highly significant in the context of healthcare-associated infections. A study conducted between 2006 and 2016 investigated the incidence of KPC-producing *K. pneumoniae* in hospitalized adults across

the Central-West, Southeast, and South regions of Brazil, along with their antimicrobial resistance profiles. The study revealed a higher prevalence of KPC isolates in the South Region. In all regions assessed, high resistance rates were observed, particularly to ertapenem, with nearly 100% of isolates demonstrating resistance.<sup>(27)</sup> These findings underscore the critical need to implement strict precautionary and control measures to contain the spread of this resistance mechanism in Brazilian hospitals.

In this context, the prevalence of HAIs associated with antimicrobial resistance is a serious concern due to the limited availability of effective therapeutic options. Therefore, rigorous surveillance strategies, the development of new antimicrobials, and the promotion of rational antibiotic use are essential to mitigate their negative impact.<sup>(28-30)</sup> Only through a multifaceted and collaborative approach will it be possible to address this growing threat to public health.

**Table 1**

Resistance profile of prevalent isolates in HAIs, by hospital unit.

Unit	Predominant Microorganism	Resistance Profile – Antibiotics																				
		AMP	AMI	AZT	CFE	FEP	CAZ	CRO	CIP	CLO	MPM	ERT	GEN	IMI	LEV	NIT	NOR	OFL	VAN	PIT	POL	TET
Adult and Pediatric ICU	<i>Pseudomonas aeruginosa</i>	R	S	R	R	R	S	R	S	S	S	S	S	R	-	-	S	S	S	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	R	R	R	S	R	S	S	S	S	S	R	-	-	S	S	S	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	R	R	S	S	S	S	R	S	S	S	S	-	-	S	S	S	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	S	R	S	S	R	S	R	R	R	S	R	-	-	S	R	R	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	S	R	S	S	S	S	R	S	R	S	S	-	-	S	S	R	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	S	R	S	S	R	S	R	S	S	S	S	S	R	S	S	S	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	R	S	R	S	R	R	R	R	S	S	R	S	-	-	R	R	R	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	S	R	R	R	R	S	R	R	R	S	R	-	-	-	S	-	R	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	S	R	S	S	R	S	R	S	S	S	S	-	-	S	S	S	S	S	-
	<i>Pseudomonas aeruginosa</i>	R	S	R	R	R	S	R	S	S	R	R	S	R	-	-	S	S	S	S	S	-
General Ward	<i>Escherichia coli</i>	R	S	S	S	S	-	S	S	S	-	S	S	S	S	S	S	S	-	S	S	-
	<i>Escherichia coli</i>	R	S	S	S	S	S	S	R	S	-	S	S	S	-	S	R	R	-	S	S	R
	<i>Escherichia coli</i>	S	S	S	S	S	S	S	S	S	-	-	S	S	S	S	S	S	-	S	S	S
	<i>Escherichia coli</i>	S	S	S	S	S	S	S	S	S	-	-	S	S	S	S	S	S	-	S	S	S
	<i>Escherichia coli</i>	R	S	S	S	S	S	S	S	S	-	S	S	S	S	S	S	S	-	S	S	R
	<i>Escherichia coli</i>	S	S	S	S	S	S	S	S	S	-	-	S	S	-	-	S	S	-	S	S	S
	<i>Escherichia coli</i>	R	S	S	S	S	S	S	S	S	-	S	S	S	R	S	S	R	-	S	S	R
	<i>Escherichia coli</i>	S	S	S	S	S	S	S	S	S	-	S	S	S	S	R	S	S	-	S	S	S
Maternity Ward	<i>Klebsiella pneumoniae</i>	R	R	R	R	R	R	R	R	R	-	R	R	R	R	R	R	R	-	R	S	R
	<i>Klebsiella pneumoniae</i>	R	S	S	S	S	-	S	S	S	-	S	S	S	S	S	S	S	-	S	S	S
	<i>Klebsiella pneumoniae</i>	R	S	R	R	S	R	R	S	S	-	S	S	S	-	-	-	S	-	S	S	S

\* AMP: ampicillin / AMI: amikacin / AZT: aztreonam / CFE: cephalexin / FEP: cefepime / CAZ: ceftazidime / CRO: ceftroxone / CIP: ciprofloxacin / CLO: chloramphenicol / MPM: meropenem / ERT: ertapenem / GEN: gentamicin / IMI: imipenem / LEV: levofloxacin / NIT: nitrofurantoin / NOR: norfloxacin / OFL: ofloxacin / VAN: vancomycin / PIT: piperacillin/tazobactam / POL: polymyxin / PEN: penicillin / TET: tetracycline. **R**: resistant microorganism / **S**: susceptible microorganism.



Regarding age and sex, regardless of hospital unit, the population most affected by HAIs was between 55 and 81 years old, with a prevalence of 85.7% among female patients. These findings are consistent with other studies indicating that age is an important risk factor for nosocomial infections caused by multidrug-resistant microorganisms. With aging, there is a natural decline in immune defenses, which increases susceptibility to such infections. Moreover, healthcare-associated infections are commonly urinary tract-related—which affect women more frequently due to anatomical characteristics—and also include surgical site infections.<sup>(31,32)</sup>

## CONCLUSION

The hospital units with the highest prevalence of HAIs and infections caused by microorganisms with reduced antimicrobial susceptibility profiles were the intensive care unit (ICU) and the maternity ward. It was observed that, regardless of hospital setting, patients over 50 years of age and female patients were more frequently affected. In the adult ICU, some patients were infected by more than one multidrug-resistant microorganism, highlighting the need for enhanced protective measures to contain the spread of infections—particularly in this unit. Additionally, the prevalence of microorganisms resistant to different classes of antimicrobials underscores the need for more effective screening strategies to identify underlying causes and promote the rational use of antibiotics.

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